RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/904, 938Source: 07/904, 938Date Processed by STIC: 2/u/2092

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- Hand Carry directly to:
 U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
 - U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- 4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002



OIPE

RAW SEQUENCE LISTING DATE: 02/11/2002 PATENT APPLICATION: US/09/904,938 TIME: 10:30:46

Input Set : F:\sequence listing.txt
Output Set: N:\CRF3\02112002\I904938.raw

3 <110> APPLICANT: Genentech, Inc.

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Ashkenazi, Avi
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              Botstein, David
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              Desnoyers, Luc
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              Eaton, Dan L.
     8
              Ferrara, Napoleone
     9
              Filvaroff, Ellen
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             Fong, Sherman
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              Gao, Wei-Qiang
              Gerber, Hanspeter
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             Gerritsen, Mary E.
     14
              Goddard, A.
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              Godowski, Paul J.
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              Grimaldi, Christopher J.
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             Gurney, Austin L.
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              Hillan, Kenneth, J.
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             Kljavin, Ivar J.
    20
             Mather, Jennie P.
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             Pan, James
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             Paoni, Nicholas F.
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              Roy, Margaret Ann
              Stewart, Timothy A.
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              Tumas, Daniel
             Williams, P. Mickey
             Wood, William, I.
     29 <120> TITLE OF INVENTION: Secreted and Transmembrane Polypeptides and Nucleic
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              Acids Encoding the Same
     32 <130> FILE REFERENCE: 10466-14
C--> 34 <140> CURRENT APPLICATION NUMBER: US/09/904,938
C--> 35 <141> CURRENT FILING DATE: 2001-12-07
     37 <150> PRIOR APPLICATION NUMBER: PCT/US00/04414
     38 <151> PRIOR FILING DATE: 2000-02-22
     40 <150> PRIOR APPLICATION NUMBER: US 60/143,048
     41 <151> PRIOR FILING DATE: 1999-07-07
     43 <150> PRIOR APPLICATION NUMBER: US 60/145,698
     44 <151> PRIOR FILING DATE: 1999-07-26
     46 <150> PRIOR APPLICATION NUMBER: US 60/146,222
     47 <151> PRIOR FILING DATE: 1999-07-28
     49 <150> PRIOR APPLICATION NUMBER: PCT/US99/20594
     50 <151> PRIOR FILING DATE: 1999-09-08
     52 <150> PRIOR APPLICATION NUMBER: PCT/US99/20944
     53 <151> PRIOR FILING DATE: 1999-09-13
     55 <150> PRIOR APPLICATION NUMBER: PCT/US99/21090
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RAW SEQUENCE LISTING
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PATENT APPLICATION: US/09/904,938

DATE: 02/11/2002 TIME: 10:30:46

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Output Set: N:\CRF3\02112002\1904938.raw

- 56 <151> PRIOR FILING DATE: 1999-09-15
- 58 <150> PRIOR APPLICATION NUMBER: PCT/US99/21547
- 59 <151> PRIOR FILING DATE: 1999-09-15
- 61 <150> PRIOR APPLICATION NUMBER: PCT/US99/23089
- 62 <151> PRIOR FILING DATE: 1999-10-05
- 64 <150> PRIOR APPLICATION NUMBER: PCT/US99/28214
- 65 <151> PRIOR FILING DATE: 1999-11-29
- 67 <150> PRIOR APPLICATION NUMBER: PCT/US99/28313
- 68 <151> PRIOR FILING DATE: 1999-11-30
- 70 <150> PRIOR APPLICATION NUMBER: PCT/US99/28564
- 71 <151> PRIOR FILING DATE: 1999-12-02
- 73 <150> PRIOR APPLICATION NUMBER: PCT/US99/28565
- 74 <151> PRIOR FILING DATE: 1999-12-02
- 76 <150> PRIOR APPLICATION NUMBER: PCT/US99/30095
- 77 <151> PRIOR FILING DATE: 1999-12-16
- 79 <150> PRIOR APPLICATION NUMBER: PCT/US99/30911
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- 82 <150> PRIOR APPLICATION NUMBER: PCT/US99/30999
- 83 <151> PRIOR FILING DATE: 1999-12-20
- 84 <150> PRIOR APPLICATION NUMBER: PCT/US00/00219
- 85 <151> PRIOR FILING DATE: 2000-01-05
- 87 <160> NUMBER OF SEQ ID NOS: 423

ERRORED SEQUENCES

5293 <210> SEQ ID NO. 173 hength / 115 thd as 43 only
5294 <211> LENGTH: (43)
5295 <212> TYPE: DNA

42 are Listed below

5296 <213> ORGANISM: Artificial Sequence

5298 <220> FEATURE:

5299 <223> OTHER INFORMATION: Description of Artificial Sequence: Synthetic

5300 oligonucleotide probe

5302 <400> SEQUENCE: 173

E--> 5303 ggactcactg gcccaggcct tcaatatcac cagccaggac gat

please change, actual Number of bases is 43 ٠. ن

VERIFICATION SUMMARY
PATENT APPLICATION: US/09/904,938
DATE: 02/11/2002
TIME: 10:30:49

Input Set : F:\sequence listing.txt
Output Set: N:\CRF3\02112002\I904938.raw

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L:35 M:271 C: Current Filing Date differs, Replaced Current Filing Date
L:511 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13
L:512 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13
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L:3586 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:113
L:4040 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:131
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L:5344 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:174
L:5479 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:175
L:6540 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:206